

Review on Top. Spaces

Let X be a top. space which maybe has some nice properties. Let $\pi: Y \rightarrow X$ be a cont. map. This defines a sheaf on X via $U \mapsto \Gamma(U) = \{f: U \rightarrow Y \text{ cont., } \pi \circ f = \text{id}\}$. This is in a sense the "main example".
sheaf of sections

Exercise 14: (See Ex. II.1.14 in Hartshorne) Prove that for any sheaf of sets F on X , there is a top. space $[F]$ and a continuous map $\pi: [F] \rightarrow X$ with the following properties:

1) F is the sheaf of sections of π .

2) π is a local homeomorphism.

3) for all $x \in X$, $\pi^{-1}(x) = F_x$.

Usually, $[F]$ is not Hausdorff. For example if $x \in X$ and $F = \mathbb{Z}_x$ is a skyscraper sheaf at x , then $[F]$ is X itself, except over x , there are countably many points which cannot be separated.

Set $\text{Sh}(X)$ = abelian category of sheaves of abelian groups on X (there are some variants of this, depending on what X is). Note $\text{Sh}(X)$ has enough injectives (is a Grothendieck category), so we can take the right derived functors of $\Gamma(X, -)$ for an injective resolution:

$$\begin{aligned} 0 &\rightarrow F \rightarrow I^0 \rightarrow I^1 \rightarrow \dots \\ &\Rightarrow 0 \rightarrow \Gamma(X, F) \rightarrow \Gamma(X, I^0) \rightarrow \dots \\ &\qquad \qquad \qquad \underbrace{\hspace{10em}} \\ &\Rightarrow H^i(X, F) = H^i(\text{---} // \text{---}) \end{aligned}$$

H^0 is a cohomological functor, i.e. satisfies the axioms for a cohomology theory.

If $f: X \rightarrow Y$ is a continuous map, we have the direct image functor: $f_* F(U) = F(f^{-1}(U))$, which maps $\text{Sh}(X) \rightarrow \text{Sh}(Y)$. Note if $Y = \text{pt.}$, $f_* = \Gamma(X, -)$, so this is a generalization. This is also left exact.

$$\{f_* I^0 \rightarrow f_* I^1 \rightarrow \dots\}$$

Taking right derived functors, $R^i f_* F = \mathcal{H}^i(f_* I^0)$, where I^0 is an injective resolution of F , and are called higher direct images.

Def: Let $G: \mathcal{A} \rightarrow \mathcal{B}$ be a left exact functor between abelian categories. An object A in \mathcal{A} is G -acyclic if $R^i G(A) = 0$ for $i > 0$ (Assuming enough injectives).

Prop: To derive G in the above context, one can use resolutions of acyclic objects.

An example is a cont. map $f: X \rightarrow Y$, the flabby sheaves on X are acyclic with respect to f_* . Indeed an injective sheaf is flabby. The proof uses the extension functor, which we review later.

Can we visualize higher direct images? By def'n, $R^i f_* F$ is a sheafification of the presheaf $V \mapsto H^i(f^{-1}(V), F)$ on Y . One might notice this is a "cohomology of fibers".

Thm (Proper Base Change): If f is proper, $(R^i f_* F)_y \cong H^i(X_y, F|_{X_y})$.

Grothendieck Spectral Sequence

Let $\mathcal{A} \xrightarrow{\alpha} \mathcal{B} \xrightarrow{\beta} \mathcal{C}$ be a left exact functor. Suppose \mathcal{A}, \mathcal{B} have enough injectives. Suppose α takes injectives to β -acyclic. Then there is a spectral sequence, with

Derived Categories

Let \mathcal{A} be an abelian category. Define $K(\mathcal{A})$ by objects being complexes in \mathcal{A} , and morphisms being chain maps, if localized at quasi-isomorphisms, this gives the Derived category $D(\mathcal{A})$.

Main Property: $D(\mathcal{A})$ is triangulated.

If $f: \mathcal{A} \rightarrow \mathcal{B}$ is left exact between abelian categories, we get right derived functors $R^i f: \mathcal{A} \rightarrow \mathcal{B}$. However we can define $Rf: D(\mathcal{A}) \rightarrow D(\mathcal{B})$ by sending $0 \rightarrow X \rightarrow 0 \rightarrow 0 \rightarrow \dots$ to the cohomology of the complex $f(0 \rightarrow X \rightarrow 0 \rightarrow 0 \rightarrow \dots)$.

If we have $\mathcal{A} \xrightarrow{f} \mathcal{B} \xrightarrow{g} \mathcal{C}$, we can get derived functors $D(\mathcal{A}) \xrightarrow{Df} D(\mathcal{B}) \xrightarrow{Dg} D(\mathcal{C})$, and one can see $D(g \circ f) = Dg \circ Df$ (a bit nicer than the Grothendieck spectral sequence!).

Setting $D(X) = D(\text{sh}(X))$, X a top. space, and $f: X \rightarrow Y$ continuous, we get:

$$\left. \begin{array}{l} Df_* : D(X) \rightarrow D(Y) \\ f^* : D(Y) \rightarrow D(X) \end{array} \right\} \text{ Still an adjoint pair}$$

↑ already exact

Also $f_! : \text{Sh}(X) \rightarrow \text{Sh}(Y)$ produces $Df_! : D(X) \rightarrow D(Y)$. The adjoint functor is $f^!$ and is only a functor of derived categories. $(Rf_!, f^!)$ is the adjoint pair. We have two main distinguished triangles:

$$\begin{array}{c} \text{closed} \\ Z \xleftarrow{i} X \xleftarrow{j} U \\ \text{open} \end{array}$$

$\forall F \in \text{Sh}(X)$, $Ri_! \circ i^! F \rightarrow F \rightarrow Rj_* \circ j^* F$, and $Rj_! \circ j^! F \rightarrow F \rightarrow Ri_* \circ i^* F$. So we get long exact sequences of hypercohomology:

$$\dots \rightarrow H^0(X, Ri_! \circ i^! F) \rightarrow H^0(X, F) \rightarrow H^0(X, Rj_* \circ j^* F) \rightarrow \dots \quad (\text{same for the other})$$

Example:

Fix $F = \mathbb{Q}_X$. Then the second Δ gives $0 \rightarrow j_! \mathbb{Q}_U \rightarrow \mathbb{Q}_X \rightarrow i_* \mathbb{Q}_Z \rightarrow 0$, which is just a sequence of sheaves. So if we wanted $H^i(X, \mathbb{Q}_X)$, we can compare this to $H^i(U, \mathbb{Q}_U)$ and $H^i(Z, \mathbb{Q}_Z)$. (Actually the first Δ is better for this.)

Čech Cohomology

See "Differential forms in Algebraic Topology".

Thm: If X is paracompact, then $\check{H}^i(X, F) \cong H^i(X, F)$.

Exercise: Prove Ex. III.4.11 in Hartshorne.

Example: Let X be a scheme and $F \in \text{QCoh}(X)$. Take X separated and $U_i = \text{Spec } A_i$ an open covering of affines. Then $H^i(U_i, F) = 0$ for $i > 0$, and see the above exercise.

Constructible Sheaves

Assume a sheaf is a sheaf of vector spaces (over \mathbb{Q}).

Exercise 18: Let X be a "nice" connected topological space (say a manifold). Prove that there is an equivalence of categories:

$$\left\{ \begin{array}{c} \text{locally constant sheaves} \\ \text{on } X \end{array} \right\} \longleftrightarrow \left\{ \pi_1(X)\text{-modules} \right\}$$

Def: A stratification of X is a decomposition into finitely many pieces $X = \coprod X_i$, such that

- each X_i is a topological manifold,
- each X_i is locally closed (open in $\overline{X_i}$),
- $\forall i, \overline{X_i}$ is a union of other strata.
- A condition on the topology (look this up).

If X is a complex alg. variety, a stratification is: $X^1 = X_{\text{smooth}}$, $X^2 = (X \setminus X_1)_{\text{smooth}}$, etc. This can be refined to a Whitney stratification.

Def: Let X be stratified and $F \in \text{Sh}(X)$ is constructible if for all i , $F|_{X_i}$ is locally constant of finite rank.

We take (typically), $X = \mathbb{C}$ -alg. variety, $X_i =$ locally closed \mathbb{C} -alg. variety. We attach to this the category:

Def: Let X be a \mathbb{C} -alg. variety. A sheaf F on X^{an} is constructible if it is constructible with respect to some stratification of X .

Let $D_c^b(X) \subset D(X)$ be the full subcategory of complexes of sheaves with bounded cohomology ($H^i(C^\bullet) = 0$ for $|i| \gg 0$) and each $H^i(C^\bullet)$ is constructible.

Thm: Let $f: X \rightarrow Y$ be a morphism of \mathbb{C} -alg. varieties. Then the functors $Rf_*, f^*, Rf_!, f^!$ preserve the constructible categories. They also behave well with base change (look this up).

Thm: (Verdier Duality) There is an object $\mathcal{D}_X \in D(X)$ called the dualizing complex, such that the contravariant functor $D_X = R\mathcal{H}om(-, \mathcal{D}_X): D(X) \rightarrow D(X)$:

- Preserves the constructible category
- $D_X^2 = \text{Id}$ on $D_c^b(X)$.
- If X is smooth, $D_X = \mathbb{Q}_X[2 \cdot \dim X]$.

Thm: There are isomorphisms of functors for $f: X \rightarrow Y$:

$$\left. \begin{array}{ccc} D_c^b(X)^{\text{op}} & \xleftarrow{f^!} & D_c^b(Y)^{\text{op}} \\ & \xrightarrow{Rf_!} & \\ \downarrow D_X & & \downarrow D_Y \\ D_c^b(X) & \xrightarrow{Rf_*} & D_c^b(Y) \\ & \xleftarrow{f^*} & \end{array} \right\} \text{So } \begin{array}{l} f^! \leftrightarrow Rf_* \\ Rf_! \leftrightarrow f^* \end{array}$$

Example: Take a smooth \mathbb{C} -alg. variety X , and set $\dim_{\mathbb{C}} X = n$. Then $\mathcal{D}_X = \mathbb{Q}_X[2n]$. Take $F = \mathbb{Q}_X$, and $p: X \rightarrow \text{pt}$. Then applying the above:

$$D_{\text{pt}} \circ Rf_! (\mathbb{Q}_X) = Rf_* \circ D_X (\mathbb{Q}_X) = Rf_* \circ \mathcal{D}_X = Rf_* \mathbb{Q}_X[2n].$$

The right hand side is ($i^{\pm 1}$ cohomology) $H^{i+2n}(X, \mathbb{Q})$, and the left hand side is $H_c^{-i}(X, \mathbb{Q})^{\vee}$, which is the classical Poincare Duality! ($H^{2n-j}(X, \mathbb{Q}) \cong H_c^j(X, \mathbb{Q})^{\vee}$).

Note we only used constancy at $D_X(\mathbb{Q}_X) = \mathbb{Q}_X[2n]$. So this gives a hint at how to formulate this more generally (if say, X is not smooth), just work with objects s.t. $D_X F = F[2n]$.

Thm: Poincare Duality for Singular Varieties

Let X be a \mathbb{C} -alg. variety. There is a canonical object $F \in \mathcal{D}_c^b(X)$ s.t.

- 1) $D_X F = F[2 \cdot \dim X]$
- 2) $F|_{X_{sm}} = \mathbb{Q}_X$
- 3) $F = IC_X =$ intersection cohomology complex on X .

See Asterisque volume 100 for a discussion.

Étale Sheaves

Let X be a scheme (with our usual conventions).

Def: Let $X_{\text{ét}}$, called the (small) étale site, be the category consisting of schemes over X with structure map étale, and morphisms X -morphisms.

A covering of U is a collection $\{U_i \xrightarrow{f_i} U\}$ of étale maps with $\bigcup f_i(U_i) = U$. Similarly the Zariski / Flat site are objects $Y \rightarrow X$ open embeddings / flat + LoFT, and similar coverings (faithfully flat + q -compact in the flat case).

Have "morphisms" of sites (colloquially, not functors) $X_{\text{ét}} \rightarrow X_{\text{ét}} \rightarrow X_{\text{zar}}$.

A presheaf on $X_{\text{ét}}$ is just a contravariant functor $P: X_{\text{ét}} \rightarrow \mathcal{C}$. The sheaf condition says for all coverings $\{U_i \rightarrow U\}$, the diagram

$$P(U) \longrightarrow \prod P(U_i) \rightrightarrows \prod P(U_i \times_U U_j)$$

is an equalizer diagram.

Examples:

0) G be a discrete grp. Then $G_X = X \times G = \coprod_{g \in G} X_g$ is a group scheme. Gives a sheaf $F(Y) = \text{Hom}(Y, G_X)$ (sometimes called G_X). Get similar examples for additive/multiplicative grps.

1) Let $F \in \mathcal{Q}\text{Coh}(X)$. Define a presheaf $W(F): \text{Sch}/X \rightarrow \text{Ab}$, by

$$W(F)(Y \xrightarrow{a} X) = \Gamma(Y, a^* F).$$

Claim: $W(F)$ is a sheaf on the étale site (flat site).

Exercise 19: Prop. 1.5 in EC, chap 2.

The exercise proves $W(F)$ is a sheaf.

Take $F(U) = \bigoplus M^{\text{Gal}(\bar{k}/k)}$. Certainly a presheaf, and an application of the proposition can show its a \bar{k}/k sheaf.

Presheaves and Sheaves

Theorem: The inclusion $f: S(\text{Xet}) \rightarrow P(\text{Xet})$ is left exact, and has a left adjoint exact functor, sheafification: $a: P(\text{Xet}) \rightarrow S(\text{Xet})$. We see

a) P and aP have the same stalks.

b) TFAE:

i) $0 \rightarrow F \rightarrow F' \rightarrow F''$ is exact in $S(\text{Xet})$,

ii) $\forall U/x \in \text{Xet}$, $0 \rightarrow F(U) \rightarrow F'(U) \rightarrow F''(U)$ is exact in grps,

iii) $\forall \bar{x} \rightarrow X$, $0 \rightarrow F_{\bar{x}} \rightarrow F'_{\bar{x}} \rightarrow F''_{\bar{x}}$ is exact.

c) TFAE:

i) $\phi: F \rightarrow F'$ is a surjection in $S(\text{Xet})$,

ii) $\forall U/x \in \text{Xet}$, $\forall s \in F(U)$, there is a covering $\{U_i \rightarrow U\}$ + elements $s_i \in F(U_i)$ such that $\phi_{U_i}(s_i) = \text{res}_{U, U_i}(s)$.

iii) $\forall \bar{x} \rightarrow X$, $F_{\bar{x}} \rightarrow F'_{\bar{x}}$ is surj.

Examples:

a) M an abelian grp. P_M be the constant presheaf $P_M(U/x) = M$. Define $F_M = aP_M$, as the constant sheaf.

b) Recall sheaf G_n on Xet , represented by $\text{Spec } \mathbb{Z}[t, t^{-1}] \times_{\text{Spec } \mathbb{Z}} X$. There is an endomorphism $t \mapsto t^n$, denoted $G_n \xrightarrow{n} G_n$. Lets look at the kernel.

$$\left. \begin{array}{ccc} G_n(U) = \Gamma(U, \mathcal{O}_U)^* & & \\ n \downarrow & \downarrow (\cdot)^n & \\ G_n(U) = \Gamma(U, \mathcal{O}_U)^* & & \end{array} \right\} \begin{array}{l} \text{Kernel is functions whose } n^{\text{th}} \text{ power is one.} \\ \text{Denote this by } \mu_n(U), \text{ which is another sheaf,} \\ \text{and is represented by} \\ \mu_n = \text{Spec } \mathbb{Z}[t, t^{-1}] / (t^n - 1). \end{array}$$

So we have an exact sequence $0 \rightarrow \mu_n \rightarrow G_n \xrightarrow{n} G_n$. Is it surjective?
 Claim: If $(n, \text{char } X) = 1$ ($\Leftrightarrow \forall x \in X$, $(n, \text{char } k(x)) = 1$), then its surjective.

Indeed let $U = \text{Spec } A$, $a \in A^*$. Need $V \rightarrow U$ étale s.t. $\exists b \in \Gamma(V, \mathcal{O}_V)^*$, $b^n = a$. Take $V = \text{Spec } B$, $B = A[t] / t^n - a$. But if we have the conditions on the characteristic, this is standard étale!

Note that in the flat topology, this sequence is always exact!

Def: The short exact sequence $1 \rightarrow \mu_n \rightarrow G_n \rightarrow G_n \rightarrow 1$ is called the Kummer sequence.

One should think of this as the étale analog of the exponential sequence.

$$\dots \rightarrow H^1(\text{Xet}, G_n) \longrightarrow H^1(\text{Xet}, \mu_n) \longrightarrow \dots$$

Will prove later $\left\{ \begin{array}{l} \text{SII} \\ H^1(\text{X}_{\text{zar}}, G_n) \\ \text{SII} \\ \text{Pic } X! \end{array} \right.$ \uparrow analog of the 1st chern class.

Suppose X/k , $\bar{k} = k$. Then $\mu_n \cong (\mathbb{Z}/n\mathbb{Z})_X$ non-canonically. Indeed choose an n^{th} root of 1 in k , ξ . Then $\forall U/X$, $\mu_n(U) = \{\xi, \xi^2, \dots, \xi^n\} = \mathbb{Z}/n\mathbb{Z}$.

Classical Kummer Theory says:

Thm: Let k be a field containing n^{th} roots of 1. Let L/k be a cyclic Galois extension with Galois grp $|G| = n$. Then $L = k(\alpha)$, with $\alpha^n = a \in k$.

Related to Hilbert's Theorem 90. There is an additive analogue as well. Then the analogue of the exponential sequence in $X/\text{Spec } \mathbb{F}_p$ is:

$$0 \longrightarrow \text{Spec}(\mathbb{F}_p[t]/t^p - t) \longrightarrow G_a \xrightarrow{F - \text{id}} G_a \longrightarrow 0,$$

where F is the Frobenius, G_a the additive group scheme.

Exercise 21: Prove that the $\text{Spec } \mathbb{F}_p$ -group scheme $\text{Spec}(\mathbb{F}_p[t]/t^p - t)$ is isomorphic to the group scheme $(\mathbb{Z}/p\mathbb{Z})_{\text{Spec } \mathbb{F}_p}$.

Direct & Inverse Images of Étale sheaves

Let $\pi: X' \rightarrow X$ be a morphism of schemes and $F \in S(X'_{\text{ét}})$. Define a presheaf $\pi_* F$ on $X_{\text{ét}}$ by declaring: $\pi_* F(U) = F(X' \times_X U)$, and will be an actual sheaf (note it is left exact).

The direct image functor has a left adjoint $\pi^*: S(X_{\text{ét}}) \rightarrow S(X'_{\text{ét}})$. This will be $\pi^* F = \lim_{\substack{u' \rightarrow u \\ \downarrow \pi \\ X'_{\text{ét}}}} F(u)$.

One can also check (at geometric points): $(\pi^* F)_{\bar{x}'} = F_{\pi(\bar{x})}$ and $(\pi_* F)_{\bar{x}} = \Gamma(X' \times_X \text{Spec } \mathcal{O}_{\bar{x}, X}^h, j^* F)$ where $j: X' \times_X \text{Spec } \mathcal{O}_{\bar{x}, X}^h \rightarrow X'$.

Examples:

1) Take $X = \text{Spec } k$, $X' = \text{Spec } k'$, with k'/k separable. ^{finite, Galois.} We know $S((\text{Spec } k)_{\text{ét}})$ are discrete Galois-modules. Then the inverse image functor takes the restricted representation.

Exercise 22: Prove the first part of 3.7 in EC (chup 2). Uses Exercise #9.

Now we have a short exact sequence of étale sheaves:

$$0 \rightarrow G_{m, X} \rightarrow g_* G_{m, X} \rightarrow i_{*, X} \mathbb{Z} \rightarrow 0,$$

where g includes the generic pt.